

TSL RDA Opening- Step by Step SOP

1. CDC provides data on new accounts to Taurus.
2. Minimum 6 Documents required by the Bank*:
 - a – Original Scanned CNIC/NICOP/POC
 - b – Copy of Passport (First two pages)
 - c – Proof of NRIP Status (Copy of Visa, Entry/Exit Stamps etc.)
 - d – Signature (Wet/Electronic/Digital) or any other
 - e – Live photo of client
 - f – Proof of Profession/Source of Income
3. CDC does not require Bank CRS** (Common Reporting Standard), Taurus CS contacts Client for Bank CRS. Client then requests their Bank for a copy if they do not already have one and forwards to CS. (Is the case for most RDA clients)
4. Taurus further requires in-house CRS/FATCA/W-9 Form, depending on the Clients “Country of Stay”, CS acquires signatures **PRIOR** to opening of RDA.
5. CS forwards all AOF documents and Client’s Bank CRS, TSL In-house CRS/FATCA, W-9 to Compliance for opening of RDA.

*Bank may acquire additional documents if necessary.

** CDC sometimes includes Bank CRS with data provided.

Taurus' Role post-RDA Opening:

1. CS emails client first, CS then calls client requiring signatures and filling of TSL CRF/FATCA/W-9 Pages.

9 CRF Documents – Checklist

- a. Risk Disclosure Document
- b. Commission Schedule
- c. Schedule of Applicable Regulatory & Statutory Levies.
- d. Profit on Idle Funds
- e. Client Profile/CDD Forms
- f. PEP Declaration Form
- g. Declaration of Beneficial Owner
- h. Declaration of Designated/Proscribed Person
- i. Terms & Conditions

CHECKLIST FOR

S.NO	REQUIRED DOCUMENTS	STATUS
1	Original Scanned CNIC/NICOP/POC	
2	Original Scanned Passport (First 2 Pages)	
3	Proof of NRIP Status (e.g Scanned Copy of POC, Visa, Entry/Exit Stamps etc.)	
4	Live Photo of Customer	
5	Signature (Wet/Digital/Electronic) or any other	
6	Proof of Profession and Source of Income	
7	Bank CRS Declaration(PDF or scanned copy of your Roshan Digital Account Application Details - Basic info you filled in for your Bank)	
8	Taurus FATCA/CRS/W-9	

Taurus CRF Documents

1	Risk Disclosure Document	
2	Commission Schedule	
3	Schedule of Applicable Regulatory & Statutory Levies	
4	Profit on idle funds	
6	PEP Declaration Form	
7	Declaration of Beneficial Owner	
8	Declaration of Designated/Proscribed Person	
9	Terms and Conditions	

Thank you for choosing Taurus!